

Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 

SUN WATTS TERMS AND CONDITIONS

To be eligible for a Sun Watts rebate from Graham County Electric Cooperative, Inc. (GCEC) you must have a membership with GCEC. The solar system must be installed within GCEC's franchise service area. The rebate for a solar system is \$0.05 per rated watt not to exceed \$500. You can install a system with a total wattage of no more than 125% of your total connected load. The time lines for the rebate program are stated below:

- You have **TWO** Weeks to complete and return the required paperwork which includes:
 - ✓ Sun Watts enrolment form
 - ✓ Sun Watts rebate request form
 - ✓ Cost estimate of the system you want to install from a licensed contractor
 - ✓ Interconnect forms to be filled out by your contractor doing the install including a one line diagram of the system to be installed
 - ✓ GCEC disclaimer form
 - ✓ Interconnect and Annual Export Rate (AER)
 - ✓ IRS W-9 form
 - ✓ Third party assignment form (Optional)
- After all the required paperwork has been received then GCEC will review and make sure the system will qualify for the rebate. This will take approximately **ONE** week.
- Once GCEC approves the system then the customer will be given **120 days** from the approval date to have the solar system installed.
- A copy of the building permit from the governing authority must be provided to Graham County Electric prior to the installation of the solar system
- **If any of the provisions above are not met and within the time line stated then the Sun Watts rebate will be forfeited and you will be removed from the rebate funding list.**

By signing below I understand and agree to the terms listed above.

Consumer Name (Print): _____

Consumer Signature: _____ Date: _____

Received By: _____ Date: _____





Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 

The path to a healthier planet...



SUN  Watts

**The path to a cleaner, healthier environment starts
with SunWatts, a renewable electric generation technology**

What is ...

SUN Watts ?

Care of our environment is a growing concern among electric cooperative customers. Many folks want a 'green' alternative for their energy needs. That's where the SunWatts™ program comes in.

Sunwatts, developed by GCEC and Arizona's Touchstone Energy® Cooperatives, offers you a way to take part in the exciting and growing renewable and photovoltaic (solar) movement in Arizona.

The Sunwatts program is discussed in more detail in this handbook. You'll also find the Sunwatts program enrollment form to help you get started.

If you have any questions or need additional information, Please contact GCEC at:

For Business or Residential:
Rusty Sherman 928-485-8674
or rshe@ncec.coop



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative





Incentive Program

Solar power generators take the sun's rays and turn them into electric energy that can be used for everything from cooling your home in the summertime to keeping you warm in the winter. And by using the sun's resources, we reduce our reliance on fossil fuel-fired electric generation, thus preserving our valuable natural resources.

With the SunWatts™ Incentive Program, you can be on the cutting edge of this exciting technology! GCEC will pay up to **\$0.05 per installed watt with a maximum rebate of \$500 for the 2025 program.**

The program is easy!

(1) You must be a member of GCEC to participate in this program. **Complete the attached Sun Watts Program enrollment form and return to GCEC via our website or in person.** By giving your name and contact information, you will be put on a notification list. GCEC will notify the member (first come, first serve) when sufficient funding is available. Wait times will vary depending upon available funding and your position on the notification list.

Once you have been notified, you will then have **2 weeks** to fill out the necessary paperwork. If at the end of the 2 week period all the paperwork has been submitted and approved then the member will be given the OK to start construction and have **120 days** to complete the solar installation. **(Absolutely no construction of the project until GCEC approval has been given in order to qualify for the incentive.)**

(2) You select and have installed a qualifying solar electric system in your home or business. This home or business must be served by GCEC. Furthermore, this system must meet all qualifications listed in the following "Qualifications" section. Note: Effective 1/1/2015, leased systems are not eligible to receive an incentive.

(3) You must use a licensed electrical contractor to install the solar electric system that meets IEEE standards, the NEC code, and the cooperative's interconnect standards. The licensed contractor must also certify the solar array's rated output in terms of wattage.

(4) You sign an agreement assigning all associated environmental credits to GCEC.

(5) If a member elects to participate in the GCEC's Annual Export Rate (AER) Tariff program, additional

agreement forms will be required. Please contact GCEC for more information about AER.

6) You, the owner of the system, are responsible for all liability of the system including but not limited to payment of normal system repairs and maintenance to the unit, including labor.

(7) In order to receive the rebate, you must submit the following to your electric co-op:

- **Sun Watts enrollment form.**
- **Sun Watts rebate request form.**
- **Paid invoice for the cost of the solar system.**
- **Interconnect forms filled out by your contractor doing the installation.**
- **GCEC disclaimer form.**
- **Annual Export Rate (AER) agreement form.**
- **A one line diagram of the system.**
- **A building permit from the proper authorities.**
- **Completed IRS W-9 form.**
- **Third-Party Assignment Form (Optional)**

(8) **The member will receive their rebate within 6 weeks after the solar system has been inspected and approved by GCEC.**

(9) A more in-depth explanation of interconnection standards and requirements is contained in this packet under "System Qualifications".

Documents should be submitted to:

**Graham County Electric Cooperative
SunWatts
P.O. Drawer B
Pima, AZ 85543**



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 



Incentive Program System Qualifications

All customer solar electric generating systems must meet the following system and installation requirements to be connected to the electric distribution cooperative system:

1. The Customer System components must be certified as meeting the requirements of IEEE-929 - Recommended Practice for Utility Interface of Photovoltaic Systems.
2. The Customer System Components must be certified as meeting the requirements of UL-1741 - Power Conditioning Units for use in Residential Photovoltaic Power and be covered by a non-prorated manufacturer's warranty of at least two years.
3. The Customer System design and installation must meet all requirements of the latest edition of the National Electrical Code (NEC), including Article 690 and all grounding, conductor, raceway, overcurrent protection, disconnect and labeling requirements.
4. The Customer System and installation must meet the requirements of all federal, state and local building codes and have been successfully inspected by the building official having jurisdiction. To do so, the installation must be completed in accordance with the requirements of the latest edition of the NEC in effect in the jurisdiction where the installation is being complete, including, without limitation, Sections 200-6, 210-6, 230-70, 240-3, 250-26, 250-50, 250-122, all of Article 690 pertaining to Solar Photovoltaic Systems, thereof, all as amended and superceded.
5. The Customer System must meet Cooperative and Arizona Corporation Commission interconnection requirements for self-generation equipment.
6. The Customer System installation must meet the cooperative Service Requirements as follows:

"An AC disconnect means shall be provided on all ungrounded AC conductors and shall consist of a lockable gang-operated disconnect clearly indicating open or closed. The switch shall be visually inspected to determine that the switch is open. The switch shall be clearly labeled stating "Photovoltaic System AC Disconnect."
7. All Customer System installations must be completed in a professional, workman-like and safe manner.
8. All electrical components of the solar system must have a UL listing certification.
9. A licensed contractor must be used. **THERE ARE NO EXCEPTIONS.**



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 



Incentive Program Enrollment Form

By signing below, I am assigning my rights associated with environmental credits to Graham County Electric Cooperative, Inc. (GCEC).

I understand that as the owner of the equipment, I am fully responsible for the unit's operation, safety, and liability. I will pay for normal system maintenance and repairs to the unit, including labor.

I also agree to submit signed inspection forms after installation to ensure it meets requirements set forth in the SunWatts Incentive Program Systems Qualifications documentation. I agree that GCEC is not in any way responsible for the unit, its safety, operation, insurance or repair.

I, _____ (print name), hereby certify that I have read and reviewed the above Incentive Program System Qualifications. I understand that I am solely responsible for ensuring that these qualifications are met and maintained for the life of my electric generating system and I am responsible for any consequences if they are not met. I understand they are needed for safe operation of my and GCEC's electrical system. I also understand if they are not met, I am not eligible for any rebate from GCEC.

DATED _____

SIGNED _____

SPOUSE _____

Please print:

Name(s): _____

Physical Address: _____

Mailing Address: _____

Home Phone: _____ Cell: _____ Work: _____

Email: _____ Account Number: _____

Signature(s): _____

Date: _____

I understand by signing this enrollment form that I am only being placed on a notification list for available funding. **I agree to not have my system installed until I have been given express approval from GCEC that funding is available and all other paperwork (including items listed under incentive program guideline #7) have been completed and approved by GCEC.** GCEC Sun Watt programs and incentives are subject to change each year based on Arizona Corporation Commission (ACC) approval. GCEC makes no promise or guarantee of specific incentives until approval to construct the system has been given. The incentive level will then be determined based on the ACC approved program in effect at the time the system is given authorization to proceed with construction.

Processing of the rebate is contingent on the accurate certification/testing of the unit. **Rebate processing is based on available funding.** GCEC reserves the right to refuse payment of a rebate based on the following reasons, including but not limited to: failure to meet the qualifications as set forth in the SunWatts Incentive Program System Qualifications documentation, incomplete enrollment packets, insufficient system testing or certification, installation and/or testing/certification by an unlicensed electrician.



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 



Please print the following information.

Interconnect Customer Information

Customer Name: _____ Account Number: _____

Customer Service Address (Street, City, State, ZIP Code): _____

GPS Coordinates (optional): _____

Customer Mailing Address: _____

Customer Telephone Number: _____

Customer Cell Number: _____ Customer E-Mail: _____

Designated Agent (Engineer, Contractor, Electrician) other than Customer: _____

Photovoltaic Inverter/Panel Information

Inverter Manufacturer: _____ Model Number: _____

Is the equipment UL 1741 listed? YES ___ NO ___ Attach manufacturer's cut-sheet showing UL 1741 listing or certified sheet stating tested to UL 1741

Number of PV Panels _____ Model Nos. _____

Are the PV panels UL 1703 listed? YES ___ NO ___ Attach manufacturer's cut-sheet showing UL 1703 listing or certified sheet stating tested to UL 1703

AC Output Voltage (120 V or 120/240 V AC)

Total Power Output (kVA or kW) _____

Estimated Installation Completion Date with AHJ Approval: _____

Protection Information

Please list the available range of protection settings, which should include pickup values and time delays.

Under/Over Voltage Protection _____

Under/Over Frequency Protection _____

Under/Over Current Protection _____

Other Protection _____

System Performance and Solar Array Data

Max. Power Output (Watts): _____ Max. Power Voltage (Volts): _____

Max. Power Current (Amps): _____ Does Inverter Disconnect Properly?: _____

Miscellaneous System Design Information

Will the system utilize a supply (line) side tap per NEC 690.64(A)? _____


Will the system consist of two or more power sources (PV, Wind, Emergency generator, etc.)? _____

Is this a system expansion that only adds panels? _____

Other information contractor or engineer believe will be important, i.e., proposed exceptions _____



**Graham County Electric
Cooperative, Inc.**

Your Touchstone Energy® Cooperative 



Installation Information

The system has been installed in compliance with IEEE 929 “Recommended Practice for Utility Interface of Photovoltaic (PV) Systems” and the latest edition of the National Electric Code. The Photovoltaic System components are Listed and Tested by a NRTL to UL Standard 1741.

Contractor (signed): _____

Contractor License No.: _____ Class: _____ Expiration Date: _____
(You must include a copy of your ROC license.)

Name (print): _____

Mailing Address: _____

Telephone Number: _____ Cell Number: _____

E-Mail Address: _____

Disconnect Switch

Electrician’s Name (print): _____

Electrician (signed): _____

Electrician License No.: _____ Expiration Date: _____

Telephone Number: _____ Cell Number: _____

E-Mail Address: _____

State of Arizona Registered Engineer (where required)

Engineer Name: _____ Business Name: _____

AZ Registration No.: _____

Business Address (Street, City, State, ZIP Code): _____

Telephone Number _____ Cell Number _____

E-Mail Address _____

Government Authority Having Jurisdiction (AHJ)

Check one:

___ City of Safford ___ Graham County

___ Town of Thatcher

___ Other, explain: _____

NOTES

- 1) PV/Wind Generation designs shall be prepared by and/or under the direct supervision of an AZ registrant where prescribed by the Arizona Board of Technical Registration in their Rules and Statutes. The complete Rules and Statutes may be found at the Arizona State Board of Technical Registration website: <http://www.btr.state.az.us> . Objections to interpretations of these Rules and Statutes will be submitted to the AZ Board of Technical Registration for resolution. GCEC will support the following but not limited to Rules and Statutes:
 - (a) the Arizona Administrative Code Title 4, Chapter 30, Article 3, Section R4-30-302 Electrical Plans, A. states:

“A registrant shall prepare and submit drawings and specifications for a new electrical system or an addition or modification to an existing electrical system provided the service and associated electrical feeders exceeds 600 amperes 120/240 volts, single phase or 225 amperes 120/208 volts, three phase and the fault current exceeds 10,000 amperes. “
 - (b) Arizona Revised Statutes, Title 32, Chapter 1, Article 3. Regulatory Provisions, 32-142 Public Works A., states:

“Drawings, plans, specifications, estimates for public works of the state or a political subdivision thereof involving architecture, engineering, ... shall be prepared by or under the direct supervision of a registrant within the category involved.”
- 2) The SunWatts program requires the customer/contractor obtain a construction permit and pass AHJ plans review and an AHJ installation inspection. If the customer submits an exemption from the AHJ installation inspection, the owner may at their option and expense, provide to GCEC a certification from a State of Arizona registered professional engineer. The certification shall be stamped by the engineer and state the installation adheres to all applicable local, national and industry codes and standards. In addition, the engineer shall certify that all equipment and material are in agreement with SunWatts application and design information submitted to GCEC, and the equipment and material are installed according to manufacturer’s recommendations, GCEC SunWatts requirements and GCEC Service Entrance Requirements.

Additional Information

The customer must include an electrical one-line and three-line diagram of the PV installation with this agreement form. The electrical one-line diagram must show connections, bus size, circuit breakers (size & backfeed rated?), fuses, etc. between main electrical components such as meter(s), main panel, main disconnect switch/breaker, PV breaker, ac utility disconnect switch, PV inverter(s), sub-panel, loads, etc. The customer must also include a detailed map that shows major cross roads and plant locations. A Site Plan must be submitted showing the arrangement of major equipment, including the electric service entrance section and utility meter, locations of PV inverter, interface equipment, and Disconnect Switch. The licensed electrical or PV contractor should be able to provide the electrical one-line diagram, three-line diagram, detailed map, and site plan, and detailed material/labor invoice. Incomplete submittals may result in project delays.

Customer and Customer contractor/electrician agree not to tamper and/or disable any GCEC Hold Tag or GCEC padlock on the ac utility disconnect switch. The purpose of this switch is to protect GCEC personnel and emergency agency personnel from dangerous backfeeds on circuits they are working on. The Customer is aware that GCEC personnel will not energize the solar system when they remove the GCEC hold tag and padlock.

Customer agrees not to encroach on or reduce the safe work space area required by GCEC Service Entrance Specifications around the GCEC service meter and the ac utility disconnect switch.

Customer agrees that GCEC equipment, in particular the ac utility disconnect switch shall remain readily accessible on a 24 hour/7 days a week basis.

DISCLAIMER
POSSIBLE FUTURE RULES and/or RATE CHANGES
EFFECTING YOUR ROOFTOP PHOTOVOLTAIC SYSTEM or OTHER QUALIFIED DISTRIBUTED
GENERATION SYSTEM

The following is a supplement to the Interconnection Agreement (“Agreement”) you signed with Graham County Electric Cooperative, Inc. (“GCEC”)

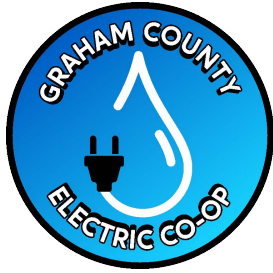
I understand that notwithstanding any other provisions of this Agreement, GCEC may file with the Arizona Corporation Commission (“ACC”), pursuant to the ACC’s rules and regulations, an application for a change in the requirements, charges, classification, or service, and any rule or regulation relating to GCEC’s interconnection with my rooftop photovoltaic system or any other qualified distributed generation (“DG”) system. In other words, I understand that in the future, upon application by GCEC or at the ACC’s own initiative, the ACC may alter GCEC’s rates, rules or regulations concerning DG systems which may affect the cost and/or savings relating to my DG system.

By signing below, I acknowledge that I have read and understand the above disclaimer.

Print Name

Signature

Date



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 



REBATE REQUEST FORM

By signing below, the customer understands, and is in agreement with, Graham County Electric Cooperative, Inc. Photovoltaic System Interconnection Requirements. The customer should not proceed with the PV project until GCEC verbally contacts the customer and indicates approval of the information supplied by the customer on the agreement form. The customer should not operate the PV system in parallel with the utility until the governmental authority having jurisdiction or an AZ registered engineer has approved the installation and GCEC has released the photovoltaic installation and has signed below. The invoice for the project is attached (required to process rebate)

Customer Name (please print): _____

Address: _____ City: _____

Customer's Signature Date

By signing below, Graham County Electric Cooperative, Inc. has released the Customer's Photovoltaic System, and therefore, the customer is authorized to operate the PV system in parallel with the utility.

GCEC's Signature Date

GCEC WILL NOT ASSUME ANY RESPONSIBILITY FOR THE PROTECTION OF THE CUSTOMER'S PHOTOVOLTAIC SYSTEM, OR OF ANY OTHER PORTION OF THE CUSTOMER'S ELECTRICAL EQUIPMENT. THE CUSTOMER IS FULLY AND SOLELY RESPONSIBLE FOR PROTECTING THEIR EQUIPMENT IN A MANNER TO PREVENT ANY FAULTS OR OTHER DISTURBANCES FROM DAMAGING THE CUSTOMER'S EQUIPMENT.

Rebate Approved by: _____

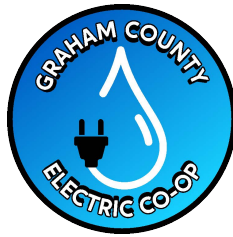
Signature: _____ Date: _____

Residential installation: _____

Commercial installation: _____

Rebate Amount: _____ Check # _____

Members Account Number: _____



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 

Third Party Assignment of SunWatts Incentive or SunWatts Performance Based Incentive

I, the below signed Customer of Graham County Electric Cooperative Inc. (GCEC) assign my entire (full)

- SunWatts Incentive in the amount of \$ _____
- SunWatts Performance Based Incentive (PBI) agreement copy attached,

to the below designated Third Party as partial payment toward the cost and/or installation of my PV system. I understand and agree that assigning my Rebate or Performance Based Incentive to this Third Party (Installer), does not relieve me of my obligation to keep the qualifying system in good working order and connected to the GCEC system. I understand and acknowledge that the payment made to this Third Party (Installer) named below satisfies the financial obligation to me in connection with the Agreement signed by myself and GCEC.

Both Parties listed below, agree that GCEC will not release the Incentive or begin the PBI without the GCEC Customer signing the release section of this form. The release signature must be Notarized.

GCEC Customer Name	
Account #	
Street Address	
City, St, Zip	

Name of Third Party	
Company Name	
Street Address	
City, St, Zip	

I hereby authorize GCEC to release the Incentive or begin the PBI to the above Third Party.

Customer Signature

Notary stamp

Date

Funds Released date _____
GCEC rep: _____
Check # or PBI acct # _____

Notary Signature _____

Date: _____ Term Expires: _____

Note. If you are a U.S. person and a requester gives you a form other than Form W-9 to request your TIN, you must use the requester's form if it is substantially similar to this Form W-9.

Definition of a U.S. person. For federal tax purposes, you are considered a U.S. person if you are:

- An individual who is a U.S. citizen or U.S. resident alien;
- A partnership, corporation, company, or association created or organized in the United States or under the laws of the United States;
- An estate (other than a foreign estate); or
- A domestic trust (as defined in Regulations section 301.7701-7).

Special rules for partnerships. Partnerships that conduct a trade or business in the United States are generally required to pay a withholding tax under section 1446 on any foreign partners' share of effectively connected taxable income from such business. Further, in certain cases where a Form W-9 has not been received, the rules under section 1446 require a partnership to presume that a partner is a foreign person, and pay the section 1446 withholding tax. Therefore, if you are a U.S. person that is a partner in a partnership conducting a trade or business in the United States, provide Form W-9 to the partnership to establish your U.S. status and avoid section 1446 withholding on your share of partnership income.

In the cases below, the following person must give Form W-9 to the partnership for purposes of establishing its U.S. status and avoiding withholding on its allocable share of net income from the partnership conducting a trade or business in the United States:

- In the case of a disregarded entity with a U.S. owner, the U.S. owner of the disregarded entity and not the entity;
- In the case of a grantor trust with a U.S. grantor or other U.S. owner, generally, the U.S. grantor or other U.S. owner of the grantor trust and not the trust; and
- In the case of a U.S. trust (other than a grantor trust), the U.S. trust (other than a grantor trust) and not the beneficiaries of the trust.

Foreign person. If you are a foreign person or the U.S. branch of a foreign bank that has elected to be treated as a U.S. person, do not use Form W-9. Instead, use the appropriate Form W-8 or Form 8233 (see Publication 515, Withholding of Tax on Nonresident Aliens and Foreign Entities).

Nonresident alien who becomes a resident alien. Generally, only a nonresident alien individual may use the terms of a tax treaty to reduce or eliminate U.S. tax on certain types of income. However, most tax treaties contain a provision known as a "saving clause." Exceptions specified in the saving clause may permit an exemption from tax to continue for certain types of income even after the payee has otherwise become a U.S. resident alien for tax purposes.

If you are a U.S. resident alien who is relying on an exception contained in the saving clause of a tax treaty to claim an exemption from U.S. tax on certain types of income, you must attach a statement to Form W-9 that specifies the following five items:

1. The treaty country. Generally, this must be the same treaty under which you claimed exemption from tax as a nonresident alien.
2. The treaty article addressing the income.
3. The article number (or location) in the tax treaty that contains the saving clause and its exceptions.
4. The type and amount of income that qualifies for the exemption from tax.
5. Sufficient facts to justify the exemption from tax under the terms of the treaty article.

Example. Article 20 of the U.S.-China income tax treaty allows an exemption from tax for scholarship income received by a Chinese student temporarily present in the United States. Under U.S. law, this student will become a resident alien for tax purposes if his or her stay in the United States exceeds 5 calendar years. However, paragraph 2 of the first Protocol to the U.S.-China treaty (dated April 30, 1984) allows the provisions of Article 20 to continue to apply even after the Chinese student becomes a resident alien of the United States. A Chinese student who qualifies for this exception (under paragraph 2 of the first protocol) and is relying on this exception to claim an exemption from tax on his or her scholarship or fellowship income would attach to Form W-9 a statement that includes the information described above to support that exemption.

If you are a nonresident alien or a foreign entity, give the requester the appropriate completed Form W-8 or Form 8233.

Backup Withholding

What is backup withholding? Persons making certain payments to you must under certain conditions withhold and pay to the IRS 28% of such payments. This is called "backup withholding." Payments that may be subject to backup withholding include interest, tax-exempt interest, dividends, broker and barter exchange transactions, rents, royalties, nonemployee pay, payments made in settlement of payment card and third party network transactions, and certain payments from fishing boat operators. Real estate transactions are not subject to backup withholding.

You will not be subject to backup withholding on payments you receive if you give the requester your correct TIN, make the proper certifications, and report all your taxable interest and dividends on your tax return.

Payments you receive will be subject to backup withholding if:

1. You do not furnish your TIN to the requester,
2. You do not certify your TIN when required (see the Part II instructions on page 3 for details),

3. The IRS tells the requester that you furnished an incorrect TIN,

4. The IRS tells you that you are subject to backup withholding because you did not report all your interest and dividends on your tax return (for reportable interest and dividends only), or

5. You do not certify to the requester that you are not subject to backup withholding under 4 above (for reportable interest and dividend accounts opened after 1983 only).

Certain payees and payments are exempt from backup withholding. See *Exempt payee code* on page 3 and the separate Instructions for the Requester of Form W-9 for more information.

Also see *Special rules for partnerships* above.

What is FATCA reporting?

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting. See *Exemption from FATCA reporting code* on page 3 and the Instructions for the Requester of Form W-9 for more information.

Updating Your Information

You must provide updated information to any person to whom you claimed to be an exempt payee if you are no longer an exempt payee and anticipate receiving reportable payments in the future from this person. For example, you may need to provide updated information if you are a C corporation that elects to be an S corporation, or if you no longer are tax exempt. In addition, you must furnish a new Form W-9 if the name or TIN changes for the account; for example, if the grantor of a grantor trust dies.

Penalties

Failure to furnish TIN. If you fail to furnish your correct TIN to a requester, you are subject to a penalty of \$50 for each such failure unless your failure is due to reasonable cause and not to willful neglect.

Civil penalty for false information with respect to withholding. If you make a false statement with no reasonable basis that results in no backup withholding, you are subject to a \$500 penalty.

Criminal penalty for falsifying information. Willfully falsifying certifications or affirmations may subject you to criminal penalties including fines and/or imprisonment.

Misuse of TINs. If the requester discloses or uses TINs in violation of federal law, the requester may be subject to civil and criminal penalties.

Specific Instructions

Line 1

You must enter one of the following on this line; **do not** leave this line blank. The name should match the name on your tax return.

If this Form W-9 is for a joint account, list first, and then circle, the name of the person or entity whose number you entered in Part I of Form W-9.

a. **Individual.** Generally, enter the name shown on your tax return. If you have changed your last name without informing the Social Security Administration (SSA) of the name change, enter your first name, the last name as shown on your social security card, and your new last name.

Note. ITIN applicant: Enter your individual name as it was entered on your Form W-7 application, line 1a. This should also be the same as the name you entered on the Form 1040/1040A/1040EZ you filed with your application.

b. **Sole proprietor or single-member LLC.** Enter your individual name as shown on your 1040/1040A/1040EZ on line 1. You may enter your business, trade, or "doing business as" (DBA) name on line 2.

c. **Partnership, LLC that is not a single-member LLC, C Corporation, or S Corporation.** Enter the entity's name as shown on the entity's tax return on line 1 and any business, trade, or DBA name on line 2.

d. **Other entities.** Enter your name as shown on required U.S. federal tax documents on line 1. This name should match the name shown on the charter or other legal document creating the entity. You may enter any business, trade, or DBA name on line 2.

e. **Disregarded entity.** For U.S. federal tax purposes, an entity that is disregarded as an entity separate from its owner is treated as a "disregarded entity." See Regulations section 301.7701-2(c)(2)(iii). Enter the owner's name on line 1. The name of the entity entered on line 1 should never be a disregarded entity. The name on line 1 should be the name shown on the income tax return on which the income should be reported. For example, if a foreign LLC that is treated as a disregarded entity for U.S. federal tax purposes has a single owner that is a U.S. person, the U.S. owner's name is required to be provided on line 1. If the direct owner of the entity is also a disregarded entity, enter the first owner that is not disregarded for federal tax purposes. Enter the disregarded entity's name on line 2, "Business name/disregarded entity name." If the owner of the disregarded entity is a foreign person, the owner must complete an appropriate Form W-8 instead of a Form W-9. This is the case even if the foreign person has a U.S. TIN.

Line 2

If you have a business name, trade name, DBA name, or disregarded entity name, you may enter it on line 2.

Line 3

Check the appropriate box in line 3 for the U.S. federal tax classification of the person whose name is entered on line 1. Check only one box in line 3.

Limited Liability Company (LLC). If the name on line 1 is an LLC treated as a partnership for U.S. federal tax purposes, check the "Limited Liability Company" box and enter "P" in the space provided. If the LLC has filed Form 8832 or 2553 to be taxed as a corporation, check the "Limited Liability Company" box and in the space provided enter "C" for C corporation or "S" for S corporation. If it is a single-member LLC that is a disregarded entity, do not check the "Limited Liability Company" box; instead check the first box in line 3 "Individual/sole proprietor or single-member LLC."

Line 4, Exemptions

If you are exempt from backup withholding and/or FATCA reporting, enter in the appropriate space in line 4 any code(s) that may apply to you.

Exempt payee code.

- Generally, individuals (including sole proprietors) are not exempt from backup withholding.
- Except as provided below, corporations are exempt from backup withholding for certain payments, including interest and dividends.
- Corporations are not exempt from backup withholding for payments made in settlement of payment card or third party network transactions.
- Corporations are not exempt from backup withholding with respect to attorneys' fees or gross proceeds paid to attorneys, and corporations that provide medical or health care services are not exempt with respect to payments reportable on Form 1099-MISC.

The following codes identify payees that are exempt from backup withholding. Enter the appropriate code in the space in line 4.

- 1—An organization exempt from tax under section 501(a), any IRA, or a custodial account under section 403(b)(7) if the account satisfies the requirements of section 401(f)(2)
- 2—The United States or any of its agencies or instrumentalities
- 3—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities
- 4—A foreign government or any of its political subdivisions, agencies, or instrumentalities
- 5—A corporation
- 6—A dealer in securities or commodities required to register in the United States, the District of Columbia, or a U.S. commonwealth or possession
- 7—A futures commission merchant registered with the Commodity Futures Trading Commission
- 8—A real estate investment trust
- 9—An entity registered at all times during the tax year under the Investment Company Act of 1940
- 10—A common trust fund operated by a bank under section 584(a)
- 11—A financial institution
- 12—A middleman known in the investment community as a nominee or custodian
- 13—A trust exempt from tax under section 664 or described in section 4947

The following chart shows types of payments that may be exempt from backup withholding. The chart applies to the exempt payees listed above, 1 through 13.

IF the payment is for . . .	THEN the payment is exempt for . . .
Interest and dividend payments	All exempt payees except for 7
Broker transactions	Exempt payees 1 through 4 and 6 through 11 and all C corporations. S corporations must not enter an exempt payee code because they are exempt only for sales of noncovered securities acquired prior to 2012.
Barter exchange transactions and patronage dividends	Exempt payees 1 through 4
Payments over \$600 required to be reported and direct sales over \$5,000 ¹	Generally, exempt payees 1 through 5 ²
Payments made in settlement of payment card or third party network transactions	Exempt payees 1 through 4

¹ See Form 1099-MISC, Miscellaneous Income, and its instructions.

² However, the following payments made to a corporation and reportable on Form 1099-MISC are not exempt from backup withholding: medical and health care payments, attorneys' fees, gross proceeds paid to an attorney reportable under section 6045(f), and payments for services paid by a federal executive agency.

Exemption from FATCA reporting code. The following codes identify payees that are exempt from reporting under FATCA. These codes apply to persons submitting this form for accounts maintained outside of the United States by certain foreign financial institutions. Therefore, if you are only submitting this form for an account you hold in the United States, you may leave this field blank. Consult with the person requesting this form if you are uncertain if the financial institution is subject to these requirements. A requester may indicate that a code is not required by providing you with a Form W-9 with "Not Applicable" (or any similar indication) written or printed on the line for a FATCA exemption code.

A—An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)

B—The United States or any of its agencies or instrumentalities

C—A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities

D—A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)

E—A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)

F—A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state

G—A real estate investment trust

H—A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940

I—A common trust fund as defined in section 584(a)

J—A bank as defined in section 581

K—A broker

L—A trust exempt from tax under section 664 or described in section 4947(a)(1)

M—A tax exempt trust under a section 403(b) plan or section 457(g) plan

Note. You may wish to consult with the financial institution requesting this form to determine whether the FATCA code and/or exempt payee code should be completed.

Line 5

Enter your address (number, street, and apartment or suite number). This is where the requester of this Form W-9 will mail your information returns.

Line 6

Enter your city, state, and ZIP code.

Part I. Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. If you are a resident alien and you do not have and are not eligible to get an SSN, your TIN is your IRS individual taxpayer identification number (ITIN). Enter it in the social security number box. If you do not have an ITIN, see *How to get a TIN* below.

If you are a sole proprietor and you have an EIN, you may enter either your SSN or EIN. However, the IRS prefers that you use your SSN.

If you are a single-member LLC that is disregarded as an entity separate from its owner (see *Limited Liability Company (LLC)* on this page), enter the owner's SSN (or EIN, if the owner has one). Do not enter the disregarded entity's EIN. If the LLC is classified as a corporation or partnership, enter the entity's EIN.

Note. See the chart on page 4 for further clarification of name and TIN combinations.

How to get a TIN. If you do not have a TIN, apply for one immediately. To apply for an SSN, get Form SS-5, Application for a Social Security Card, from your local SSA office or get this form online at www.ssa.gov. You may also get this form by calling 1-800-772-1213. Use Form W-7, Application for IRS Individual Taxpayer Identification Number, to apply for an ITIN, or Form SS-4, Application for Employer Identification Number, to apply for an EIN. You can apply for an EIN online by accessing the IRS website at www.irs.gov/businesses and clicking on Employer Identification Number (EIN) under Starting a Business. You can get Forms W-7 and SS-4 from the IRS by visiting IRS.gov or by calling 1-800-TAX-FORM (1-800-829-3676).

If you are asked to complete Form W-9 but do not have a TIN, apply for a TIN and write "Applied For" in the space for the TIN, sign and date the form, and give it to the requester. For interest and dividend payments, and certain payments made with respect to readily tradable instruments, generally you will have 60 days to get a TIN and give it to the requester before you are subject to backup withholding on payments. The 60-day rule does not apply to other types of payments. You will be subject to backup withholding on all such payments until you provide your TIN to the requester.

Note. Entering "Applied For" means that you have already applied for a TIN or that you intend to apply for one soon.

Caution: A disregarded U.S. entity that has a foreign owner must use the appropriate Form W-8.

Part II. Certification

To establish to the withholding agent that you are a U.S. person, or resident alien, sign Form W-9. You may be requested to sign by the withholding agent even if items 1, 4, or 5 below indicate otherwise.

For a joint account, only the person whose TIN is shown in Part I should sign (when required). In the case of a disregarded entity, the person identified on line 1 must sign. Exempt payees, see *Exempt payee code* earlier.

Signature requirements. Complete the certification as indicated in items 1 through 5 below.

- 1. Interest, dividend, and barter exchange accounts opened before 1984 and broker accounts considered active during 1983.** You must give your correct TIN, but you do not have to sign the certification.
- 2. Interest, dividend, broker, and barter exchange accounts opened after 1983 and broker accounts considered inactive during 1983.** You must sign the certification or backup withholding will apply. If you are subject to backup withholding and you are merely providing your correct TIN to the requester, you must cross out item 2 in the certification before signing the form.
- 3. Real estate transactions.** You must sign the certification. You may cross out item 2 of the certification.
- 4. Other payments.** You must give your correct TIN, but you do not have to sign the certification unless you have been notified that you have previously given an incorrect TIN. "Other payments" include payments made in the course of the requester's trade or business for rents, royalties, goods (other than bills for merchandise), medical and health care services (including payments to corporations), payments to a nonemployee for services, payments made in settlement of payment card and third party network transactions, payments to certain fishing boat crew members and fishermen, and gross proceeds paid to attorneys (including payments to corporations).
- 5. Mortgage interest paid by you, acquisition or abandonment of secured property, cancellation of debt, qualified tuition program payments (under section 529), IRA, Coverdell ESA, Archer MSA or HSA contributions or distributions, and pension distributions.** You must give your correct TIN, but you do not have to sign the certification.

What Name and Number To Give the Requester

For this type of account:	Give name and SSN of:
1. Individual	The individual
2. Two or more individuals (joint account)	The actual owner of the account or, if combined funds, the first individual on the account ¹
3. Custodian account of a minor (Uniform Gift to Minors Act)	The minor ²
4. a. The usual revocable savings trust (grantor is also trustee) b. So-called trust account that is not a legal or valid trust under state law	The grantor-trustee ¹ The actual owner ¹
5. Sole proprietorship or disregarded entity owned by an individual	The owner ³
6. Grantor trust filing under Optional Form 1099 Filing Method 1 (see Regulations section 1.671-4(b)(2)(i)(A))	The grantor*
For this type of account:	Give name and EIN of:
7. Disregarded entity not owned by an individual	The owner
8. A valid trust, estate, or pension trust	Legal entity ⁴
9. Corporation or LLC electing corporate status on Form 8832 or Form 2553	The corporation
10. Association, club, religious, charitable, educational, or other tax-exempt organization	The organization
11. Partnership or multi-member LLC	The partnership
12. A broker or registered nominee	The broker or nominee
13. Account with the Department of Agriculture in the name of a public entity (such as a state or local government, school district, or prison) that receives agricultural program payments	The public entity
14. Grantor trust filing under the Form 1041 Filing Method or the Optional Form 1099 Filing Method 2 (see Regulations section 1.671-4(b)(2)(i)(B))	The trust

¹ List first and circle the name of the person whose number you furnish. If only one person on a joint account has an SSN, that person's number must be furnished.

² Circle the minor's name and furnish the minor's SSN.

³ You must show your individual name and you may also enter your business or DBA name on the "Business name/disregarded entity" name line. You may use either your SSN or EIN (if you have one), but the IRS encourages you to use your SSN.

⁴ List first and circle the name of the trust, estate, or pension trust. (Do not furnish the TIN of the personal representative or trustee unless the legal entity itself is not designated in the account title.) Also see *Special rules for partnerships* on page 2.

*Note. Grantor also must provide a Form W-9 to trustee of trust.

Note. If no name is circled when more than one name is listed, the number will be considered to be that of the first name listed.

Secure Your Tax Records from Identity Theft

Identity theft occurs when someone uses your personal information such as your name, SSN, or other identifying information, without your permission, to commit fraud or other crimes. An identity thief may use your SSN to get a job or may file a tax return using your SSN to receive a refund.

To reduce your risk:

- Protect your SSN,
- Ensure your employer is protecting your SSN, and
- Be careful when choosing a tax preparer.

If your tax records are affected by identity theft and you receive a notice from the IRS, respond right away to the name and phone number printed on the IRS notice or letter.

If your tax records are not currently affected by identity theft but you think you are at risk due to a lost or stolen purse or wallet, questionable credit card activity or credit report, contact the IRS Identity Theft Hotline at 1-800-908-4490 or submit Form 14039.

For more information, see Publication 4535, Identity Theft Prevention and Victim Assistance.

Victims of identity theft who are experiencing economic harm or a system problem, or are seeking help in resolving tax problems that have not been resolved through normal channels, may be eligible for Taxpayer Advocate Service (TAS) assistance. You can reach TAS by calling the TAS toll-free case intake line at 1-877-777-4778 or TTY/TDD 1-800-829-4059.

Protect yourself from suspicious emails or phishing schemes. Phishing is the creation and use of email and websites designed to mimic legitimate business emails and websites. The most common act is sending an email to a user falsely claiming to be an established legitimate enterprise in an attempt to scam the user into surrendering private information that will be used for identity theft.

The IRS does not initiate contacts with taxpayers via emails. Also, the IRS does not request personal detailed information through email or ask taxpayers for the PIN numbers, passwords, or similar secret access information for their credit card, bank, or other financial accounts.

If you receive an unsolicited email claiming to be from the IRS, forward this message to phishing@irs.gov. You may also report misuse of the IRS name, logo, or other IRS property to the Treasury Inspector General for Tax Administration (TIGTA) at 1-800-366-4484. You can forward suspicious emails to the Federal Trade Commission at: spam@uce.gov or contact them at www.ftc.gov/idtheft or 1-877-IDTHEFT (1-877-438-4338).

Visit IRS.gov to learn more about identity theft and how to reduce your risk.

Privacy Act Notice

Section 6109 of the Internal Revenue Code requires you to provide your correct TIN to persons (including federal agencies) who are required to file information returns with the IRS to report interest, dividends, or certain other income paid to you; mortgage interest you paid; the acquisition or abandonment of secured property; the cancellation of debt; or contributions you made to an IRA, Archer MSA, or HSA. The person collecting this form uses the information on the form to file information returns with the IRS, reporting the above information. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation and to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their laws. The information also may be disclosed to other countries under a treaty, to federal and state agencies to enforce civil and criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism. You must provide your TIN whether or not you are required to file a tax return. Under section 3406, payers must generally withhold a percentage of taxable interest, dividend, and certain other payments to a payee who does not give a TIN to the payer. Certain penalties may also apply for providing false or fraudulent information.



Graham County Electric Cooperative, Inc.

Your Touchstone Energy® Cooperative 

Annual Export Rate (AER) & Interconnection Agreement

I request to be placed on AER. By signing this application I certify that my renewable system has been installed in compliance with IEEE standards for Utility Interface of Photovoltaic (PV) and Wind Generating Systems and the latest edition of the National Electric Code. The Photovoltaic and Wind Generating System components are Listed and Tested to UL Standard 1741 and that it is connected to the GCEC grid, and meets the ACC definition of an AER Facility.

I understand and agree to the following:

- As the owner of the equipment, I am fully responsible for the unit's operation and safety. I will pay for normal system maintenance and repairs to the unit.
- I am solely responsible for ensuring that these qualifications are met and maintained for the life of my electric generating system and I am responsible for any consequences if they are not met. I understand they are needed for safe operation of my and GCEC's electrical system.
- All kWh received from the customer by the Cooperative (Excess Generation) will be credited on the monthly customer bill by the Cooperative at the Arizona Corporation Commission (ACC) approved AER.
- The credit shall be applied against the Cooperative monthly charges. No Excess Generation shall be "banked," "saved" or "rolled forward" for use in a future month.
- In the event the credit exceeds the amount due the Cooperative (Excess Credits), the credit shall be rolled forward and applied against subsequent Cooperative bills until used.
- After my December bill, I may request compensation for any outstanding credits from the prior year; if the outstanding credits exceed \$100, a check will automatically be issued, otherwise the bill credits will carry forward to the following year.
- Copies of the Annual Export Rate (AER) are available upon request. The AER rules and tariffs are set by the Arizona Corporation Commission (ACC) and shall remain in effect until further order of the ACC.

Name: _____

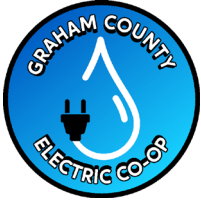
Address: _____

Account No: _____

Signature: _____

Received by GCEC on _____

By: _____



Graham County Electric Cooperative, Inc.

9 West Center St.

PO Drawer B

Pima, AZ 85543

Phone (928) 485-2451

Your Touchstone Energy® Cooperative 

I, the undersigned, a member of Graham County Electric Cooperative, Inc. understand that in order to be eligible for Annual Export Rate (AER) and the rebate incentive program, the wattage of a solar system installation cannot exceed 125% of my current total connected load and an annual load/usage amount may be requested by the Solar Company.

By signing below, I authorize Graham County Electric to disclose my annual electric load/usage amount with the Solar Company and if requested provide the Solar Company with a load/usage statement.

Member Signature

Member Name

Solar Company

Date